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1 **Global warming effect and adaptation for a flooding event at**
2 **Motsukisamu River in Sapporo**

3
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19

20

21 **Abstract**

22 A set of hydrological experiments for a flooding event on September 11, 2014 at
23 Motsukisamu River in Sapporo were performed. Dynamical downscaling to 5-km
24 resolution of a large-ensemble global simulation allowed us to estimate that a 99%-tile
25 hourly precipitation in Sapporo would increase by 70% in a future climate, when the
26 global-mean temperature increases by 4 K compared with the present climate. After
27 developing a three-tank model of which parameters were optimized on the basis of the
28 in-situ observation at the Motsukisamu River during the event period, the model was
29 forced by hypothetical hyetographs of the event that would occur under the future climate.
30 The results of this experiment suggested that the peak flow rate would increase by 75%.
31 However, it was also revealed that an upstream aqueduct tunnel, just completed in autumn
32 2021, would effectively reduce the peak flow rate and mitigate the flooding risk even in
33 extreme precipitation under the future climate.

34

35 **1. Introduction**

36 Many reported that extreme precipitation was intensified with the recent
37 temperature increase (Peterson *et al.* 2008; Westra *et al.* 2013; Fujibe 2013). The global
38 climate model simulation projected the increase in extreme precipitation over most of the
39 mid-latitude land-masses in a warmer climate (IPCC AR5 WG1). Global flood
40 projections showed flood hazards increasing over East-to-South Asia, in spite of great
41 variability at the catchment scale (Dankers *et al.* 2014; Hirabayashi *et al.* 2013). On the
42 other hand, flood risk of local river basins to climate changes has been recently assessed
43 by a river model tuned individually and then forced by many possible hyetographs
44 provided by a large ensemble climate-model simulation (Hoshino and Yamada, 2018;

45 Tachikawa et al. 2017). Hoshino et al. (2020) evaluated the characteristics of tropical
46 cyclones that induced heavy rainfall over the Tokachi River basins in Hokkaido, Japan.
47 This technique can be applied to relatively smaller river basins in Hokkaido (Nguyen et
48 al. 2021).

49 As introduced above, the assessment of flooding risk to climate change was
50 established for rivers with their catchment area larger than 100,000 km² by global models
51 (Hirabayashi et al. 2021) and even for river basins > 1,000 km² with dynamical
52 downscaling. However, it remains challenging to assess smaller river basins like urban
53 rivers. A hindrance is attributed to difficulty to accurately simulate meso-scale convective
54 systems that bring torrential rainfall such as squall lines and rainbands by atmospheric
55 models even with 1-km resolution. Although the extreme precipitation is reproduced
56 statistically with a 5-km resolution model (Sasaki et al. 2011), the model is hardly to
57 reproduce the occurrence probability and short-time variability of these phenomena and
58 let alone its change in a warmer climate. Another difficulty in the assessment of small-
59 scale river basins is to find feasible modeling approaches amid the lack of rainfall and
60 discharge observations (Grimaldi et al. 2021); Despite no assurance on its simplification,
61 the tank model was widely used for its convenience to be easily fit with an event in an
62 arbitrary river. Recently, Yoshioka et al. (2020) attempted to evaluate the flood risk of an
63 urban river in Tokyo, by utilizing a large-ensemble dynamically-downscaled data.

64 The purpose of this study is to evaluate the impact of climate change to a small
65 urban river. In order to mitigate the former difficulty, we proposed an idea combining
66 gauged precipitation and a change ratio from model simulations focusing a particular
67 torrential rainfall event. We here assumed that, if the same event occurred under the
68 global warming environment, the rainfall intensity would only depend on the content of

69 water vapor in the atmosphere. Although regional climate models cannot accurately
70 reproduce all the events, we estimated the change ratio as the difference of extreme
71 precipitation intensity between present and future climate simulations. In order to tackle
72 the latter difficulty, we targeted the Mutsukisamu River, running through residential areas
73 of Sapporo, Hokkaido, Japan (Figs. 1a,b). We focus on the event of the river flooding on
74 September 11, 2014, due to torrential rainfall associated with a line-shaped rainband
75 (Kato 2020), elongated along the direction from southwest to northeast over central
76 Hokkaido. The rainfall amount at this event strongly depended on the location of the
77 rainband, so that we also used other hyetographs observed near the site under the
78 assumption that the rainband were horizontally shifted. Furthermore, this study evaluates
79 the effect of an aqueduct tunnel in the upstream of the Mutsukisamu river (Fig. 1c).

80

81 **2. Data and Methods**

82 **2.1 In-Situ Observation**

83 We used the in-situ observation data of the water level and rainfall at a
84 downstream point of the Mutsukisamu River (43.0317°N, 141.3861°E; Fig. 1c) by
85 Hokkaido Weather Technology Center. Rainfall has been observed with a tipping bucket
86 rain gauge with 0.5 mm error, and the water level has been observed with hydraulic crystal
87 water gauge with 0.1%FS error. The data were a 10-minute interval.

88 We also used the rainfall data with a 10-minute interval of Automated
89 Meteorological Data Acquisition System (AMeDAS) observation sites by the Japan
90 Meteorological Agency. The nearest site is Sapporo (43.06°N, 141.33°E), but we used
91 other 11 sites in Ishikari subprefecture of Shinshinotsu (43.22°N, 141.65°E), Yamaguchi
92 (43.15°N, 141.22°E), Teineyama (43.08°N, 141.20°E), Koganeyu (42.96°N, 141.22°E),

93 Eniwa-Shimamatsu (42.93°N, 141.57°E), Shikotsukohan (42.77°N, 141.41°E), Ishikari
94 (43.19°N, 141.37°E), Hamamasu (43.58°N, 141.39°E), Atsuta (43.40°N, 141.44°E),
95 Chitose (42.78°N, 141.69°E), and Ebetsu (43.11°N, 141.60°E; Fig. 1b).

96

97 **2.2 Downscaling Data**

98 The database for Policy Decision-Making for Future Climate Change (d4PDF)
99 dataset contained a large ensemble simulation by the Meteorological Research Institute
100 Atmospheric General Circulation Model, version 3.2 (Mizuta et al. 2012) with a
101 horizontal resolution of 60 km and the dynamical downscaling by Non-Hydrostatic
102 Regional Climate Model (Sasaki et al. 2011; Murata et al. 2013) covering the Japanese
103 territory with a horizontal resolution of 20 km. The number of ensembles is 100 for the
104 historical 1950-2010 simulation and 90 for the future climate simulation, where the
105 global-mean surface temperature increases by 4 K relative to the preindustrial period.

106 In this study, we used the further dynamical downscaling results to a horizontal
107 resolution of 5 km covering Hokkaido Island (Hoshino and Yamada, 2018). This was
108 conducted for selected years in the d4PDF ensembles with the heaviest 72-hour
109 precipitation totals in the Tokachi River basin between 1 June and 1 December. Because
110 the probability density of hourly precipitation in the 20-km d4PDF data at Sapporo was
111 independent of the selection of years related to precipitation over the Tokachi River basin
112 (Fig. 2a), we regarded this selection as random sampling. In total, 769 years from 3000
113 years of the historical simulation and 735 years from 5400 years of the future simulation
114 were downscaled from 20-km resolution d4PDF dataset. Among them, we statistically
115 analyzed hourly precipitation data at the nearest grid-point to the observation site at the
116 Motsukisamu River (the southwest blue point in Fig. 1c).

117

118 **2.3 River Model**

119 We developed a three-tank model for the domain (6.61 km²), upstream to the
 120 monitoring site (green point in Fig. 1c), which predicted runoff and ground water flow
 121 rates (Sugawara 1972, 1995). The parameters of runoff coefficients, penetration
 122 coefficients, and heights of tank holes (Appendix A) were optimized with differential
 123 evolution (Storn and Price 1997), based on precipitation and water level observed at the
 124 Motsukisamu River site on September 11, 2014. The flow rate Q (m³ s⁻¹) was converted
 125 into water level H (m) by the following formula based on observation:

$$Q = \begin{cases} 12.50 (H - 34.80)^2 & (34.80 \leq H \leq 34.90) \\ 24.91 (H - 34.83)^2 & (34.90 < H \leq 35.06) \\ 12.94 (H - 34.74)^2 & (35.06 < H) \end{cases} \quad (1)$$

126 We included the effect of the aqueduct tunnel in this three-tank model.
 127 Considering the capability and location of the tunnel, we divided the rainfall forcing into
 128 the upstream basin ($S_1 = 4.78 \times 10^6$ m²) from the tunnel entrance (72% of the total
 129 catchment area) and the downstream (28%): $R_{in} = 0.72 R_{rm} + 0.28 R_{ob}$, where R_{ob}
 130 [mm (10 min)⁻¹] is observed precipitation, and R_{rm} [mm (10 min)⁻¹] is the upstream-
 131 oriented precipitation after drainage. The upstream water flowed out following an
 132 overflow formula that had been obtained by the statistical fitting based on measurement
 133 of flow and water level in hydraulic model experiments for the design of aqueduct tunnel
 134 entrance:

$$\begin{cases} Q_1 = -3.0650 \times 10^{-4} Q_0^2 + 0.94947 Q_0 - 1.7005 & (Q_0 \leq 50) \\ Q_1 = -1.1381 \times 10^{-2} \min(Q_0, Q_a)^2 + 1.8566 \min(Q_0, Q_a) - 19.303 & (Q_0 > 50) \end{cases} \quad (2)$$

135 where Q_0 and Q_1 are the inflow just before the tunnel and the outflow to the tunnel and

136 Q_a is $58.5 \text{ m}^3 \text{ s}^{-1}$.

137

138 **2.4 Experiments**

139 We performed the historical and future experiments with the three-tank model
140 forced by precipitation in the flooding event on September 11, 2014, or its processed one.
141 The reference historical experiment was a hindcast experiment with on-site rainfall itself
142 (Section 2.1). In addition, we performed “ensemble” historical experiments with possible
143 hyetographs hypothesized that the line-shaped rainband were horizontally shifted. This
144 was done by the same three-tank model but forced by AMeDAS rainfall data at 12
145 different sites.

146 The future experiments were also performed with possible hyetographs
147 hypothesized that the flooding event occurred in future environment. The hyetograph was
148 simply created by the procedure that the rainfall amount in all the timeframes was
149 multiplied by 1.70. This rate was computed by the ratio of a 99%-tile value of hourly
150 precipitation exceeding 0.1 mm threshold in June-July-August-September months at the
151 nearest grid-point to the Motsukisamu observation site from 735-year future simulation
152 with 5-km resolution to that from 769-year historical simulation. We used this value as
153 the multiplying factor, because the historical simulation almost reproduced the extreme
154 statistics of hourly precipitation and the ratio was comparable for higher precipitation
155 intensity like the target event with a 99.95%-tile value at the peak (Fig. 2b). The
156 “ensemble” future experiments were also done with 12 AMeDAS rainfall data multiplied
157 by 1.70.

158

159 **3. Results**

160 First, we validated the three-tank model specially tuned to the flooding event by
161 the differential evolution method with the learning data of water levels estimated by the
162 H-Q relation [Eq. (1)] from 00:00 10 September to 24:00 11 September. The reference
163 historical experiment with the input precipitation observed at the Motsukisamu River site
164 on the date showed a reasonable variation of hydrograph, compared with the observed at
165 the same site (Fig. 3a). There were two peaks in hyetograph exceeded $8 \text{ mm (10 min)}^{-1}$
166 at 2:00-2:20 and 3:30-4:20 on this date (Hereafter time is in Japanese Standard time). The
167 observed and simulated hydrographs showed that the peaks were emerged almost at the
168 same timing but with a 10-minute delay to the precipitation peak surpassed 36.30 m, the
169 flood stage level 4. The torrential rainfall ceased at 8:00 there. The simulated water level
170 dropped to the baseline soon¹, while the observed one was gradually declined.

171 Figure 3b displays the hyeto-hydrograph of the “ensemble” historical experiments
172 with hypothesized precipitation at 12 different AMeDAS sites in Ishikari area that would
173 occur at the Motsukisamu river basin. We here paid attention to two sites at
174 Shikotsukohan and Chitose, quite close to the line-shaped rainband. At Shikotsukohan
175 the rainfall exceeded $15 \text{ mm (10 min)}^{-1}$ in two periods, the first around 5:30 and the
176 second around 18:45. In the hypothesized experiment with this rainfall data given to the

¹ The flow rather than water level tended to show a peaky graph (not shown), so that the fitting likely took a stronger influence from the larger flow around the peak. Hence, we made light of an error around the weak flow, or water levels near baseline. We guess that other possible reasons are the heavier rainfall in the upstream that we did not cope with and some leakage in the river that was not well reproduced in this simple tank model.

177 Motsukisamu River model, the water level attained local maxima in the peak rainfall
178 period there. The first peak was 37.3 m and the second peak exceeded 37.5 m. A similar
179 hyetograph was found in Chitose, with a secondary rainfall peak in 23:00. In the
180 hypothesized experiment, secondary flooding would have happened at the Motsukisamu
181 River in this second rainfall peak. Because rainfall data in other sites have a similar
182 variation but with an unclear peak, the result of ensemble simulation showed the water
183 level would increase in the period during 2:00-6:00 but would not likely attain the
184 flooding level.

185 The result of the future reference simulation is shown in Fig. 4a. Accompanied
186 with the heavier rainfall, the simulation showed spiky peaks of runoff almost at the same
187 timing as the rainfall peaks. The peak flow rate was 1.75 times higher. Similarly, the
188 “ensemble” simulation showed more spiky peaks of runoff and the water level would
189 approach to the flood stage level 4 if some AMeDAS points were replaced with (Fig. 4b).

190 Finally, we assessed the effect of the aqueduct tunnel effect to the water level at
191 the Motsukisamu River. The reference historical experiment with the tunnel effect
192 revealed that the peak water level would be much lower than the flood stage level 4 (Fig.
193 5a). Even in the reference experiment, the tunnel effect would significantly reduce the
194 peak water level (Fig. 5b). Hence it can be indicated that the aqueduct tunnel is a treatment
195 of flood risk in the present climate as well as an adaptation of the future climate.

196

197 **4. Discussion**

198 The assessment of climate change effect to a small urban river has been
199 challenging, but the technique proposed here is so simple that it could be highly versatile
200 for the urban rivers where the hyeto-hydro graph was available with enough a shorter

201 sampling interval compared to the rainfall-runoff timescale. We targeted a specific flood
202 event and used a lumped model to avoid hydrological uncertainty in the urban-river
203 modeling. If one attempted to make a more accurate and more universal river model to
204 use any flooding events with a sophisticated manner like distributed models, a stumbling
205 block would be the necessity of densely distributed verification data. Moreover, an
206 accurate urban-river modeling needed complete inflow/outflow information of a city
207 drainage network. Because it seemed virtually impossible to resolve these problems in
208 the present engineering level, this study is considered to be the best-effort approach to the
209 flood-risk assessment of small urban rivers.

210 A caveat of our method is a rough estimate of hyetograph for the target event that
211 would occur in the global warming environment. Even if a meteorological phenomenon
212 that brought extreme rainfall occurred under a warmer climate, a simple multiplication of
213 rainfall amount without any other changes in the hyetograph would not be warrant. To
214 relieve this problem, one possible way is to use pseudo global warming (PGW) dynamical
215 downscaling (Sato *et al.* 2007), in which the boundary conditions are obtained by adding
216 the future-to-present difference estimated by GCM into observed data. However, even
217 state-of-the-art atmospheric models cannot always well reproduce the meso-scale
218 convection system. Even though the model were capable to reproduce the system, its
219 possible few-kilometer shift would be critical for the estimate of urban river input. These
220 are reasons why we avoided a PGW method in this paper.

221

222 **5. Conclusions**

223 We adopted an idea of simple multiplication of precipitation amount in a heavy
224 rainfall event and assessed the flooding risk at the Motsukisamu River in Sapporo under

225 a warmer climate. First, we checked that extreme precipitation at Sapporo increased by
226 70% in future climate where the global-mean temperature increases by 4 K, based on
227 dynamical downscaling to 5-km resolution of a large-ensemble global simulation called
228 d4PDF. Next, we validated that a three-tank model driving the observed precipitation
229 during the target period of a flood event at the Motsukisamu River. The river model forced
230 by hypothetical hyetographs of the event that would occur under the future climate as a
231 manner of PGW experiment suggested that the peak flow rate would increase by 75%. It
232 was also revealed that the upstream aqueduct tunnel would effectively reduce the peak
233 flow rate and mitigate the flooding risk even in extreme precipitation under the future
234 climate.

235

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247 tunnel and Dr. Yousuke Sato to give a technical assistance for handling meteorological
248 data. Figure 1c was based on Map of Geospatial Information Authority of Japan with

249 some modifications.

250

251 **Appendix A. Tank model and the parameters**

252 We implemented the standard three-tank model that consists of a set of
 253 prognostic equation of storage of first, second and third tanks, S_1 , S_2 , and S_3 , forced by
 254 rainfall R as

$$\begin{aligned}\frac{dS_1}{dt} &= -\beta_1 S_1 - q_1(t) + R, \\ \frac{dS_2}{dt} &= -\beta_2 S_2 - q_2(t) + \beta_1, \\ \frac{dS_3}{dt} &= -\beta_3 S_3 - q_3(t) + \beta_2,\end{aligned}\tag{A1}$$

255 and

$$\begin{aligned}q_1(t) &= \alpha_1(S_1(t) - L_1) + \alpha_2(S_1(t) - L_2), \\ q_2(t) &= \alpha_3(S_3(t) - L_3), \\ q_3(t) &= \alpha_4(S_4(t) - L_4).\end{aligned}\tag{A2}$$

256 The coefficients of outflow from the lower side hole in the first tank are $\alpha_1 = 1.79476$
 257 hr^{-1} and $\alpha_2 = 0.21718 \text{ hr}^{-1}$, respectively; Those from the side hole in the second and
 258 third tanks are $\alpha_3 = 1.27943 \text{ hr}^{-1}$ and $\alpha_4 = 1.13114 \text{ hr}^{-1}$, respectively. The
 259 coefficients of leakage from the bottom hole in the first, second and third tanks are $\beta_1 =$
 260 3.32828 hr^{-1} , $\beta_2 = 4.10502 \text{ hr}^{-1}$ and $\beta_3 = 4.67506 \text{ hr}^{-1}$, respectively. The heights
 261 of the lower and upper side hole in the first tank are $L_1 = 0.77872 \text{ mm}$ and $L_2 = 9.40112$
 262 mm , respectively; Those of the side hole in the second and third tanks are $L_3 = 0.01223$
 263 mm and $L_4 = 10.39208 \text{ mm}$, respectively.

264

265 **References**

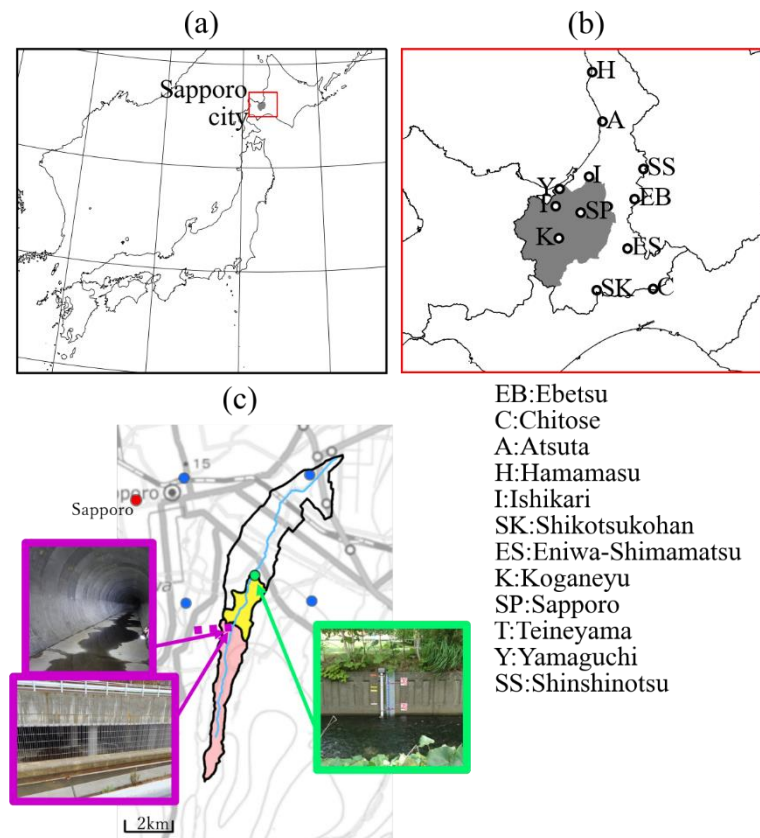
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343

344 Figure 1: (a) Map and (b) zoom-in map and (c) pictures around the Mutsukisamu River.

345 Sapporo City was shaded in (a) and (b). Circles in (b) denote the location of Automated

346 Meteorological Data Acquisition System (AMeDAS) observation points in Ishikari area

347 described below. Black line in (c) is the Mutsukisamu River basin and purple dotted line

348 is the aqueduct tunnel in the upstream. The river model is constructed for the pink and

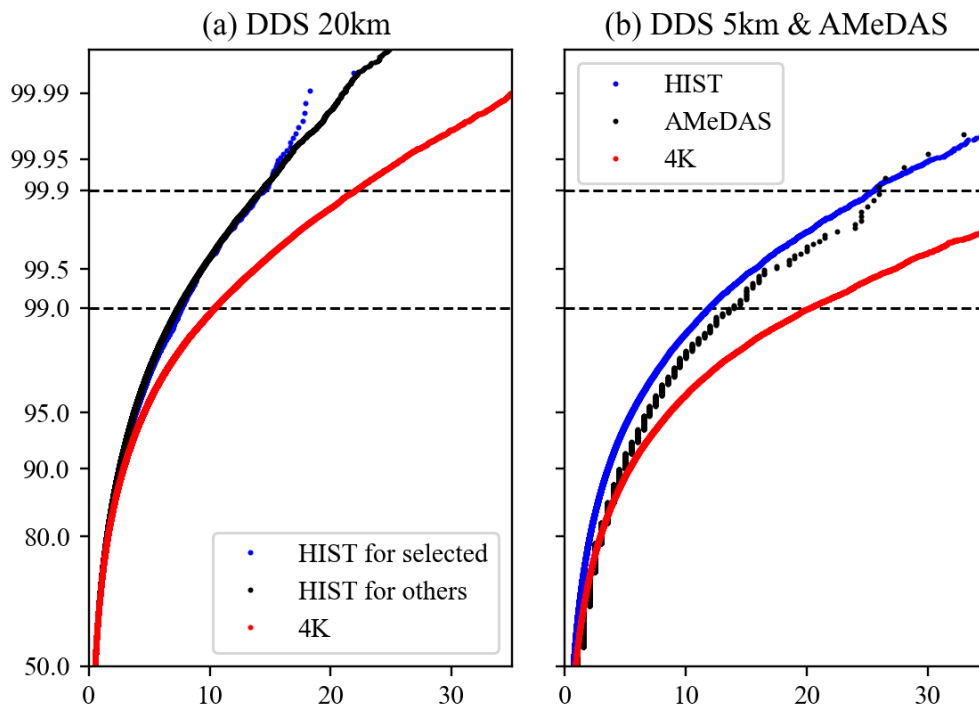
349 yellow areas, and the rainfall in the yellow area partly flows out by the tunnel. Green, red,

350 and blue dots show the observation point of precipitation and water level, AMeDAS

351 observation point of Sapporo, and the neighbor grid-points of d4PDF surrounding the site,

352 respectively.

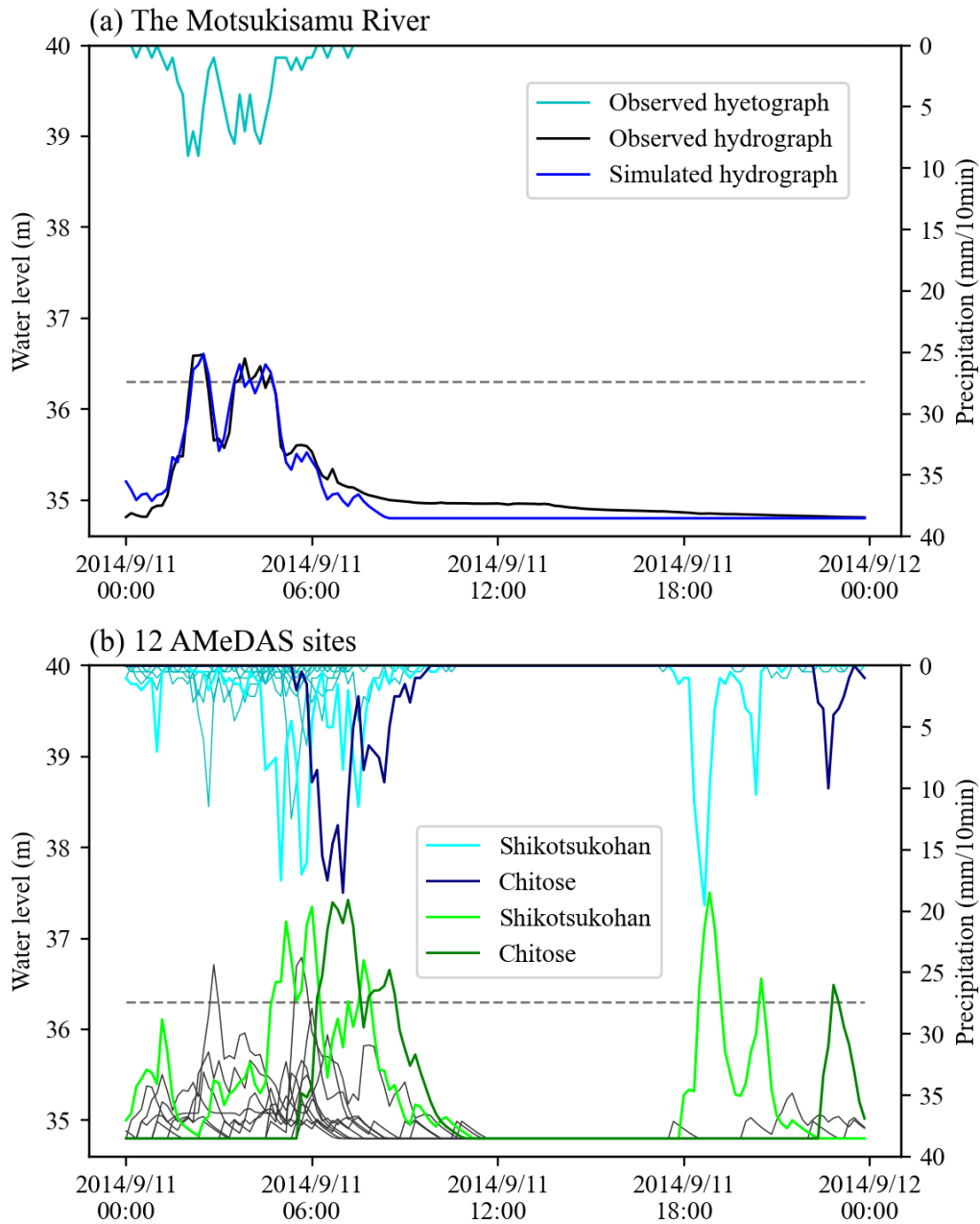
353



354
 355 Figure 2: (a) The quantile-quantile (Q-Q) plot of hourly precipitation in June-July-
 356 August-September months at Sapporo in 20-km d4PDF historical simulation (blue) for
 357 years selected in the 5-km downscaling and (black) for other years and (red) in 20-km
 358 d4PDF 4K simulation. (b) The Q-Q plot of hourly precipitation at Sapporo (blue)
 359 historical and (red) 4K experiments in 5-km downscaling and (black) AMeDAS
 360 observation from 1991 to 2020. All the statistics were taken for hours where the
 361 precipitation exceeded 0.1 mm.

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365 Figure 3: Hyetograph and hydrograph on September 11, 2014, at the Motsukisamu River

366 under historical climate. (a) (Line in the upper side) Rainfall and (black line) water level

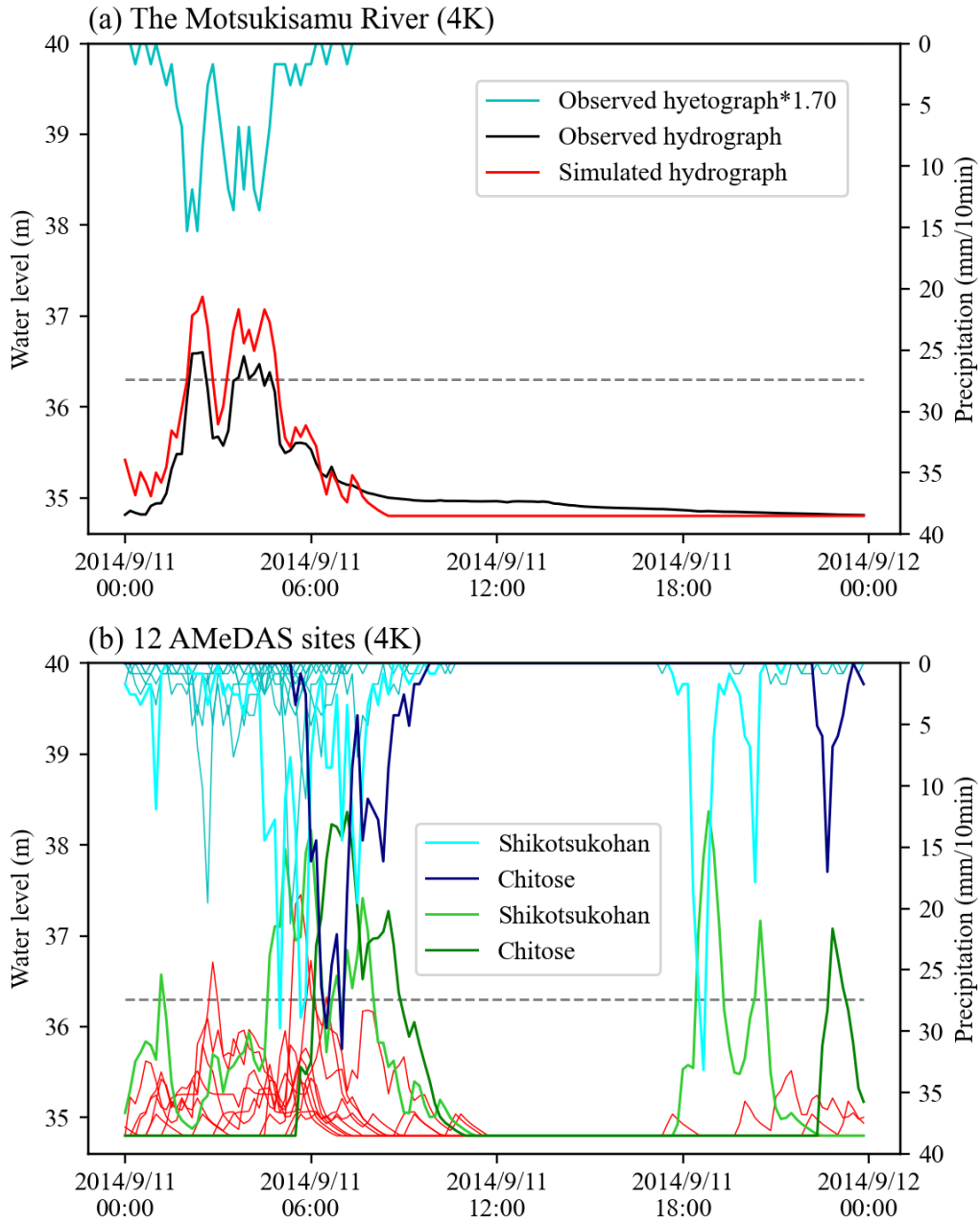
367 observed at the Motsukisamu River. Blue line shows the water level in the reference

368 simulation. Gray dotted line shows the flood stage level 4. (b) Same as (a), but the results

369 for “ensemble” simulation with precipitation observed in 12 sites of Ishikari area.

370 Shikotsukohan and Chitose sites were emphasized by coloring as legend.

372

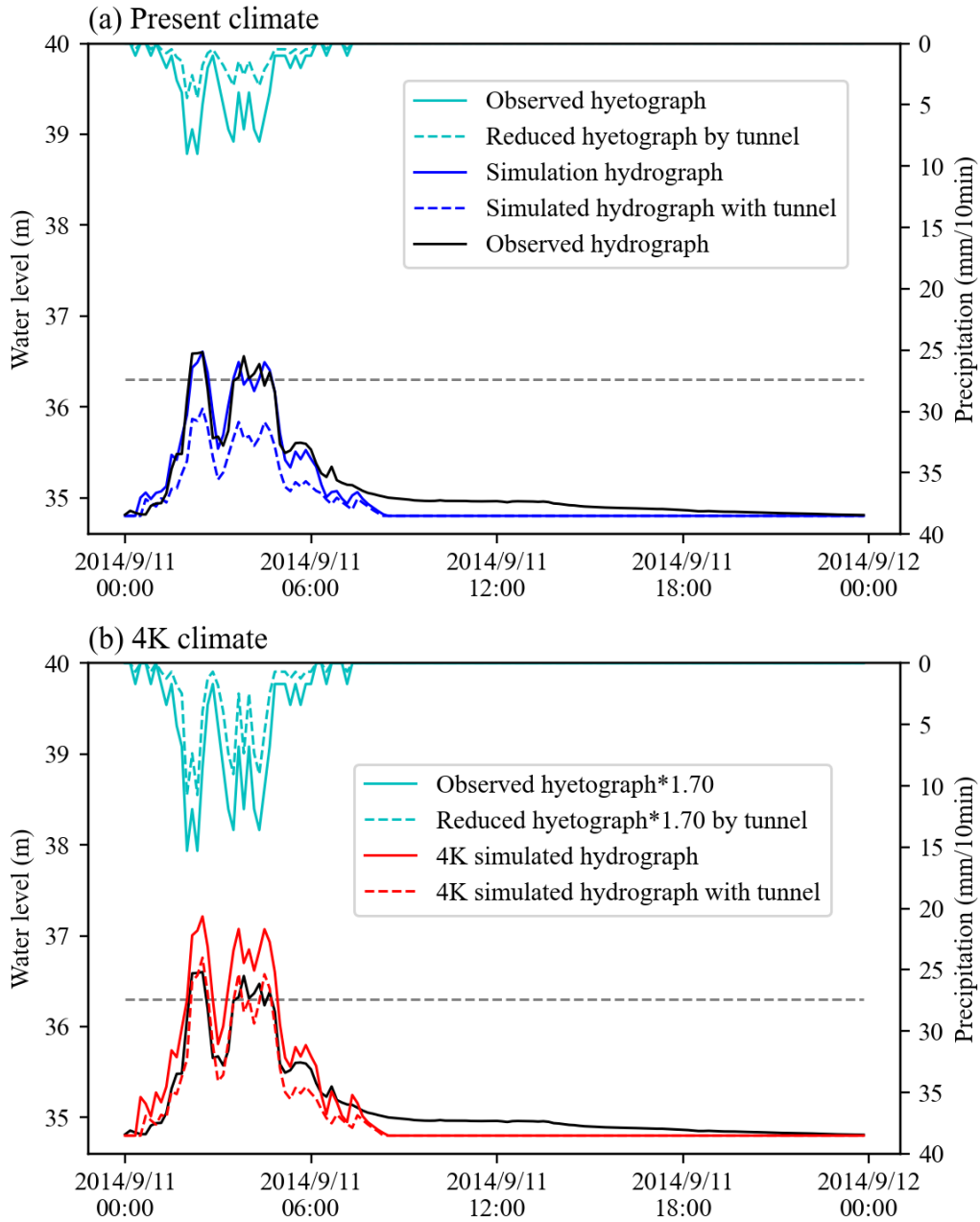


373

374 Figure 4: Same as Fig. 3, but for +4 K climate.

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377

378 Figure 5: (a; dotted lines) The net precipitation inversely estimated considering the
379 amount of the removal of water through the aqueduct tunnel and the simulated water level
380 forced by the net precipitation. The hyetograph and hydrograph in the reference
381 experiment were superimposed with solid lines. (b) Same as (a), but all under +4 K

382 climate. Note that the hydrograph is colored red in (b). The black line is the observed
383 water level as a reference.
384